

**UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF TENNESSEE  
WESTERN DIVISION**

## JOINT NOTICE OF SETTLEMENT

Pursuant to Local Rule 83.13, Plaintiff B. Riley Wealth Management, Inc. f/k/a Wunderlich Securities, Inc. (“WSI”) and Defendant XL Specialty Insurance Company’s (“XL”) jointly notify the Court that the parties have resolved the claims at issue. The parties will file a stipulation of dismissal concurrently with this Joint Notice.

[SIGNATURES ON NEXT PAGE]

Respectfully submitted,

*Pete A. Brunson*

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**CERTIFICATE OF SERVICE**

I hereby certify that on this day I filed the foregoing pleading with the Court via its ECF system which served a copy on all parties of record in this matter.

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**Dated:** December 2, 2021.

*Pete A. Brunson*  
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